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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

### NOTICE OF ALLOWANCE AND FEE(S) DUE

22850

10/12/2004

OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT,

P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314 **EXAMINER** 

CHEN, TIANJIE

ART UNIT

PAPER NUMBER

2652

DATE MAILED: 10/12/2004

| 1 | APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-----------------|-------------|----------------------|---------------------|------------------|
| , | 09/944,075      | 09/04/2001  | Hideaki Fukuzawa     | 213589US2RD         | 1162             |

TITLE OF INVENTION: MAGNETORESISTANCE EFFECT ELEMENT

| APPLN. TYPE    | APPLN. TYPE SMALL ENTITY |        | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------------------|--------|-----------------|------------------|------------|
| nonprovisional | NO                       | \$1370 | \$300           | \$1670           | 01/12/2005 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax

| appropriate All further cor   | respondence including the Pa<br>below or directed otherwise i  | stant advance orde  | re and notification  | of maintenance tees   | nired). Blocks 1 through 5 sl<br>will be mailed to the current<br>;; and/or (b) indicating a sepa  | Correspondence address as   |
|---|--|---|--|---|--|---|
|   | E ADDRESS (Note: Use Block 1 for an  | y change of address)  |  | Fee(s) Transmittal. II  | f mailing can only be used for<br>his certificate cannot be used for<br>al paper, such as an assignment  | or any omer accompanying  |
| 22850 75  | 590 10/12/2004   |   |  | have its own certificat   | te of mailing or transmission.   | int of formar drawing, must   |
| OBLON, SPIVAL   | K, MCCLELLAND, 1   | MAIER & NE  | USTADT.  | Ce  | ertificate of Mailing or Trans   | mission   |
| P.C.  | it, meennen, i   |   | 0011121,   | I hereby certify that t   | his Fee(s) Transmittal is being<br>with sufficient postage for fire  | deposited with the United   |
| 1940 DUKE STRE  | ET   |   |  | addressed to the Ma   | il Stop ISSUE FEE address<br>PTO (703) 746-4000, on the d  | above, or being facsimile   |
| ALEXANDRIA, V   |  | ,   |  | transmitted to the US   | PTO (703) 746-4000, on the d   |   |
| ,   |  |   |  |   |  | (Depositor's name)  |
|   |  |   |  |   |  | (Signature)   |
|   |  |   |  |   |  | (Date)  |
| APPLICATION NO.   | FILING DATE  | FI  | RST NAMED INVE   | MED INVENTOR ATTORNEY DOCKET NO. CONF   |  | CONFIRMATION NO.  |
| 09/944,075  | 09/04/2001   |   | Hideaki Fukuzav  | va  | 213589US2RD  | 1162  |
| TITLE OF INVENTION: M   | IAGNETORESISTANCE EF   | FECT ELEMENT  |  |   |  |   |
| THE OF INVENTION, M   | AGNETORESISTANCE EL  | LECT EEEMENT  |  |   |  |   |
|   |  |   |  |   |  |   |
|   |  |   |  |   |  |   |
| APPLN. TYPE   | SMALL ENTITY   | ISSUE FEE   | F  | UBLICATION FEE  | TOTAL FEE(S) DUE   | DATE DUE  |
| nonprovisional  | NO   | \$1370  |  | \$300   | \$1670<br>—  | 01/12/2005  |
| EXAM  | IINER  | ART UNIT  |  | CLASS-SUBCLASS  | ]  |   |
| CHEN,   | ΓΙΑΝJΙΕ  | 2652  |  | 360-324100  |  |   |
| 1. Change of correspondenc  | e address or indication of "Fe   | e Address" (37  | 2. For printing or   | the patent front page,  | list   |   |
| CFR 1.363).   |  |   | (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a |   |  |   |
|   | lence address (or Change of C<br>22) attached.   |   |  |   |  |   |
| "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.                                       |  |   | registered attorne<br>2 registered pater<br>listed, no name w  | y or agent) and the name<br>of attorneys or agents. I<br>will be printed.                                       | mes of up to f no name is 3  |   |
| 3. ASSIGNEE NAME AND  | RESIDENCE DATA TO BE   | PRINTED ON TH   | HE PATENT (print   | or type)  |  |   |
| PLEASE NOTE: Unless   |  | ow, no assignee da  | ata will appear on   | the patent. If an assig   | nee is identified below, the o   | locument has been filed for   |
| (A) NAME OF ASSIGN  | EE   | (B)   | RESIDENCE: (CI   | TY and STATE OR CO  | OUNTRY)  |   |
|   |  |   |  |   |  |   |
|   |  |   |  |   |  |   |
| Please check the appropriate  | e assignee category or categor   | ies (will not be prin   | ited on the patent)  | Individual 🚨  | Corporation or other private gr  | oup entity Government   |
| 4a. The following fee(s) are enclosed:  4b. Payment of Fee(s):  |  |   |  |   |  |   |
| ☐ Issue Fee   |  | Ļ   | A check in the amount of the fee(s) is enclosed.   |   |  |   |
| Publication Fee (No s   | small entity discount permitted  | d) [  | Payment by credit card. Form PTO-2038 is attached.   |   |  |   |
| Advance Order - # of Copies   |  |   | The Director is  | hereby authorized by  | charge the required fee(s), or (enclose an extra c   | credit any overpayment, to  |
| 5. Change in Entity Status  | (from status indicated above)  |   |  |   |  | ,   |
|   | MALL ENTITY status. See 3  | -   | b. Applicant is a  | no longer claiming SM   | ALL ENTITY status. See 37 C  | CFR 1.27(g)(2).   |
| The Director of the USPTO<br>NOTE: The Issue Fee and F<br>interest as shown by the rec  | is requested to apply the Issu<br>Publication Fee (if required) words of the United States Pate  | e Fee and Publication<br>ill not be accepted<br>nt and Trademark C                                    | on Fee (if any) or t<br>from anyone other<br>Office.   | o re-apply any previou<br>than the applicant; a re  | sly paid issue fee to the applic<br>gistered attorney or agent; or t   | ation identified above.<br>he assignee or other party in  |
| Authorized Signature  |  |   |  | Date  |  |   |
| Typed or printed name   |  |   |  | Registratio   | on No  |   |
| This collection of informati<br>an application. Confidential<br>submitting the completed a<br>this form and/or suggestion<br>Box 1450, Alexandria, Virg<br>Alexandria, Virginia 22313 | on is required by 37 CFR 1.3 lity is governed by 35 U.S.C. pplication form to the USPTG s for reducing this burden, sh ginia 22313-1450. DO NOT \$1.450. | 11. The information<br>122 and 37 CFR 1.<br>D. Time will vary dould be sent to the<br>SEND FEES OR CO | is required to obta<br>14. This collection<br>depending upon the<br>Chief Information<br>OMPLETED FOR                                  | in or retain a benefit by is estimated to take 12 individual case. Any Officer, U.S. Patent an MS TO THIS ADDRE | the public which is to file (an 2 minutes to complete, includi comments on the amount of to d Trademark Office, U.S. Deg SS. SEND TO: Commissioner | d by the USPTO to process) ng gathering, preparing, and time you require to complete partment of Commerce, P.O. for Patents, P.O. Box 1450, |

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| APPLICATION NO. FILING DATE FIRST NAME |                          | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |  |  |
|--|--------------------------|----------------------|-------------------------|------------------|--|--|
| 09/944,075                             | 09/04/2001               | Hideaki Fukuzawa     | 213589US2RD             | 1162             |  |  |
| . 22850 7                              | 22850 7590 10/12/2004    |                      |                         | EXAMINER         |  |  |
| ,                                      | K, MCCLELLAND, M         | CHEN, TIANJIE        |                         |                  |  |  |
|  | P.C.<br>1940 DUKE STREET |                      | ART UNIT                | PAPER NUMBER     |  |  |
| ALEXANDRIA, VA 22314                   |                          |                      | 2652                    |                  |  |  |
|  |                          |                      | DATE MAILED: 10/12/2004 |                  |  |  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 79 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 79 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

| APPLICATION NO.                 | FILING DATE       | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.    | CONFIRMATION NO.        |  |  |
|---------------------------------|-------------------|----------------------|------------------------|-------------------------|--|--|
| 09/944,075                      | 09/04/2001        | Hideaki Fukuzawa     | 213589US2RD            | 1162                    |  |  |
| 22850                           | 7590 10/12/2004   |                      | EXAM                   | EXAMINER                |  |  |
| OBLON, SPIVA                    | K, MCCLELLAND, MA | CHEN,                | CHEN, TIANJIE          |                         |  |  |
| P.C.                            | DET.              | ART UNIT             | PAPER NUMBER           |                         |  |  |
| 1940 DUKE STRI<br>ALEXANDRIA, V |                   |                      | 2652                   |                         |  |  |
|                                 |                   |                      | DATE MAILED: 10/12/200 | DATE MAILED: 10/12/2004 |  |  |

### Notice of Fee Increase on October 1, 2004

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2004, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" because some fees will increase effective October 1, 2004. See Revision of Patent Fees for Fiscal Year 2005; Final Rule, 69 Fed. Reg. 52604, 52606 (May 10, 2004).

The current fee schedule is accessible from WEB site (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in view of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowance is to be filed on or after October 1, 2004 (or mailed with a certificate of mailing on or after October 1, 2004), the issue fee paid should be the fee that is required at the time the fee is paid. See Manual of Patent Examining Procedure (MPEP), Section 1306 (Eighth Edition, Rev. 2, May 2004). If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously-paid issue fee should be paid. See MPEP Section 1308.01.

Effective October 1, 2004, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below.

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

(b) Issue fee for issuing a design patent:

(c) Issue fee for issuing a plant patent:

By other than a small entity..... \$660.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.